

General Disclosure Statement for the Consolidated Financial Review (CFR) Reports in the System

We have developed a generic disclaimer that you can either choose to use (by altering with your company information) along with your logo/banner in the KnowIT system for your Consolidated Financial (CFR) Reports, or you have the ability to create and design your own disclaimer that will also need to be reviewed by your compliance officer. Disclaimers and compliance best practices are your responsibility and these examples are only provided by us as a service to you. We provide no guarantee that the disclosures as listed will meet your regulatory compliance obligations and you assume all responsibility in their use.

(All Disclosure statements must be approved by your Compliance Officer before use)

Registered Reps of a Broker-Dealer

I have compiled this information as a service to you. Some values reflect the Account value as of the last business day of the quarter. If there is any discrepancy between the information as it appears on this Account review and a fund/insurance company statement, the fund/insurance company statement prevails. After review of the fund/insurance statement, if you still have discrepancies or questions, please contact me immediately. It will be assumed that your statements are correct unless you notify me within ninety (90) calendar days of the transaction date. The information is from sources we believe to be reliable; however, the accuracy and completeness are not guaranteed. COMPANY (name and address) and BROKER DEALER (name and address) are independently owned and each is responsible for its own business. The products in this statement are not covered by the FDIC.

(If B/D): Securities offered through BROKER DEALER NAME, Member FINRA.

Investment Advisor Representatives of a Registered Investment Advisor

I have compiled this information as a service to you. Some values reflect the Account value as of the last business day of the quarter. In order to verify that all account transactions are proper, we encourage you to compare the information provided in our statement with the statement you received directly from your custodian (206-4-2(a)(2)). If you have discrepancies or questions, please contact me immediately. It will be assumed that your statements are correct unless you notify me within ninety (90) calendar days of the transaction date. The information is from sources we believe to be reliable; however, the accuracy and completeness are not guaranteed. COMPANY (ADVISORY) name and address and RIA NAME(name and address) are independently owned and each is responsible for its own business. The products in this statement are not covered by the FDIC.

(If RIA): RIA NAME is a Registered Investment Advisor. Give address information.

For Insurance Agents

I have compiled this information as a service to you. Some values reflect the Account value as of the last business day of the quarter. If there is any discrepancy between the information as it appears on this Account review and a fund/insurance company statement, the fund/insurance company statement prevails. After review of the fund/insurance statement, if you still have discrepancies or questions, please contact me immediately. It will be assumed that your statements are correct unless you notify me within ninety (90) calendar days of the transaction date. The information is from sources we believe to be reliable; however, the accuracy and completeness are not guaranteed. Company Name and address.

For Registered Reps of a B/D and Investment Advisor Representatives of a Registered Investment Advisor

I have compiled this information as a service to you. Some values reflect the Account value as of the last business day of the quarter. In order to verify that all account transactions are proper, we encourage you to compare the information provided in our statement with the statement you received directly from your custodian (206-4-2(a)(2) and/or (206-4-22) your fund company/insurance company. If you have discrepancies or questions, please contact me immediately. It will be assumed that your statements are correct unless you notify me within ninety calendar days of the transaction date. The information is from sources we believe to be reliable; however, the accuracy and completeness are not guaranteed. Advisory services offered through RIA Name and address is a SEC Registered Investment Advisor. Securities offered through Broker Dealer, Member FINRA. Broker Dealer Address Company Name, RIA Name and Broker Dealer Name are independently owned and each is responsible for its own business. The products in this statement are not covered by the FDIC.